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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Benjamin BRANDENBERGER et al.

Group Art Unit: 2161

Appln. No. : 09/931,721

Examiner:

Filed : August 20, 2001

For : FINANCIAL ASSET MANAGER SELECTION AND PEER GROUP  
INFORMATION DISSEMINATION METHOD, SYSTEM AND  
COMPUTER-READABLE MEDIUM THEREFOR

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

RECEIVED  
DEC 04 2001  
Group 2100

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, §1.97-1.98,

Applicants hereby call the following information to the Examiner's attention:

(1) A World Wide Web page printout entitled "InvestorForce Overview",  
<http://www.investorforce.com/AboutUs/overView.asp>, printed on March 20, 2001;

(2) An article entitled "M-Search Data Now on the Web," by Bob Padgett, Möbius  
Strip, vol. 7, no. 2, Second Quarter 2000; and

(3) A World Wide Web page printout entitled "Plansponsor.com About Us",  
<http://www.plansponsor.com/eprise/main/plansponsor/about/index>, printed on June 17, 2001.

Applicants note that the above-mentioned documents are discussed on page 2 of the  
specification of the instant application. Document 2 is being provide because the website

www.eFrontiers.com is no longer available.

Applicants respectfully request that the Examiner consider the above materials and cite the documents. Copies of the above-noted documents are attached and have been listed on a PTO-1449 Form which is also attached hereto. Accordingly, the Examiner is requested to initial the appropriate spaces on the attached PTO-1449 Form and to return a copy of the Form to Applicants with the next official communication in the present application to confirm consideration of these documents.


With respect to the Web sites cited herein, the date on which the site was reviewed and printed is given as the earliest date known to Applicants. Applicants make no representation that this "printed on" date is the earliest publication. However, Applicants are not aware of any practical way of determining the publication date on the World Wide Web.

Further, Applicants note that an Office Action on the merits has not yet issued in the instant application, and thus, no fee is required to ensure consideration of this statement. However, if an Office Action has issued and is crossing in the mail with this statement, the Commissioner is hereby authorized to charge Deposit Account No. 19-0089 the appropriate fee to ensure consideration of the cited materials.

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Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Benjamin BRANDENBERGER et al.

  
Reg. No. 44,550

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Neil F. Greenblum  
Reg. No. 28,394

November 23, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Virginia 20191  
(703) 716-1191

and Trademark Office  
STATEMENT  
NOV 27 2001  
PATENT & TRADEMARK OFFICE